The Robert Gillespie ACADEMIC SKILLS CENTRE

Stokes' Theorem

Parametric Surfaces

Just as a curve can be described as a vector function $\overline{r}(t)$ of a single parameter t, a surface can be described by a vector function $\overline{r}(u,v)$ of two parameters u and v, that is

$$\overline{r}(u,v) = x(u,v)\overline{i} + y(u,v)\overline{j} + z(u,v)\overline{k}$$

is a vector-valued function defined on a region *D* in the *uv*-plane. The component functions *x*, *y*, and *z* of $\overline{r}(u,v)$ are functions of two variables *u* and *v* with domain *D*. The set of all points (x, y, z) in \mathbb{R}^3 such that

$$x = x(u,v), \quad y = y(u,v), \quad z = z(u,v)$$
 (1)

and (u,v) varies throughout *D* is called a parametric surface S. The parametric equations of S are (1).

Normal Vector to a Surface

Say that a vector \overline{v} is tangent to a surface S at the point *P* if \overline{v} is a tangent vector, at *P*, to some curve that is contained in S. This is analogous to the tangent line of a single variable function.

Assume that a surface S is represented by $\overline{r}(u,v) = \langle x(u,v), y(u,v), z(u,v) \rangle$, where $(u,v) \in D \subseteq \mathbb{R}^2$. At a point on the surface (u_0,v_0) , there exists the following two tangent vectors, $\overline{T}_u(u_0,v_0)$ and $\overline{T}_v(u_0,v_0)$, given by

$$\overline{T}_{u}\left(u_{0},v_{0}\right) = \left\langle \frac{\partial x}{\partial u}\Big|_{\left(u_{0},v_{0}\right)}, \frac{\partial y}{\partial u}\Big|_{\left(u_{0},v_{0}\right)}, \frac{\partial z}{\partial u}\Big|_{\left(u_{0},v_{0}\right)}\right\rangle,$$

being the partial derivatives of the components x = x(u,v), y = y(u,v), and z = z(u,v) with respect to u, and

$$\overline{T}_{v}\left(u_{0},v_{0}\right) = \left\langle \frac{\partial x}{\partial v}\Big|_{\left(u_{0},v_{0}\right)}, \frac{\partial y}{\partial v}\Big|_{\left(u_{0},v_{0}\right)}, \frac{\partial z}{\partial v}\Big|_{\left(u_{0},v_{0}\right)}\right\rangle,$$

being the partial derivatives of the components x = x(u,v), y = y(u,v), and z = z(u,v) with respect to v.

The vector $\overline{N}(u_0, v_0) = \overline{T}_u(u_0, v_0) \times \overline{T}_v(u_0, v_0)$, being perpendicular to both $\overline{T}_u(u_0, v_0)$ and $\overline{T}_v(u_0, v_0)$ is called a **normal vector**. The normal vector is computed by

$$\bar{N} = \bar{T}_u \times \bar{T}_v = \begin{vmatrix} \bar{i} & \bar{j} & \bar{k} \\ \frac{\partial x}{\partial u} & \frac{\partial y}{\partial u} & \frac{\partial z}{\partial u} \\ \frac{\partial x}{\partial v} & \frac{\partial y}{\partial v} & \frac{\partial z}{\partial v} \end{vmatrix}.$$

where we have dropped explicit reference to the point (u_0, v_0) .

Stokes' Theorem

Let S be an oriented piecewise-smooth surface that is bounded by a simple, closed, piecewise boundary curve C with positive orientation. Let \overline{F} be a vector field whose components have continuous partial derivatives on an open region in \mathbb{R}^3 that contains S. Then

$$\int_{C} \overline{F} \cdot d\overline{r} = \iint_{S} \operatorname{curl} \overline{F} \cdot d\overline{S}$$

The positively oriented boundary curve of the oriented surface S is often written as ∂S . Then, Stokes' Theorem can be expressed as

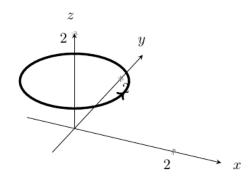
$$\iint_{S} \operatorname{curl} \overline{F} \cdot d\overline{S} = \int_{\partial S} \overline{F} \cdot d\overline{r}$$

The left side of the equation involves an integral involved with derivatives because of $\operatorname{curl}\overline{F}$, and the right side has the values of \overline{F} at the boundary of S.

In a special case where the surface S is flat and lies in the *xy*-plane with upward orientation, the unit normal is \overline{N} , the surface integral becomes a double integral, and Stokes' Theorem becomes

$$\int_{C} \overline{F} \cdot d\overline{r} = \iint_{S} \operatorname{curl} \overline{F} \cdot d\overline{S} = \iint_{S} \operatorname{curl} \overline{F} \cdot \overline{N} dA.$$

Example 1. Let C be the circle $x^2 + y^2 = 1$ and z = 1, oriented counterclockwise as seen from a point (0,0,z) with z>1 on the *z*-axis. For $\overline{F} = 2\overline{i} + x\overline{j} + y^2\overline{k}$, compute $\int_C \overline{F} \cdot d\overline{r}$.



Solution. Calculating directly, we parametrize C by $\overline{r} = \langle \cos t, \sin t, 1 \rangle$ where $t \in [0, 2\pi)$. . Then $\overline{r'}(t) = \langle -\sin(t), \cos(t), 0 \rangle$ We then obtain

$$\begin{split} \int_{C} \overline{F} \cdot d\overline{r} &= \int_{C} \overline{F}(\overline{r}(t)) \cdot \overline{r}(t) dt \\ &= \int_{0}^{2\pi} \langle 2, \cos(t), \sin^{2}(t) \rangle \cdot \langle -\sin(t), \cos(t), 0 \rangle dt \\ &= \int_{0}^{2\pi} (-2\sin(t) + \cos^{2}(t)) dt \\ &= \int_{0}^{2\pi} \left(-2\sin(t) + \frac{1}{2} + \frac{1}{2}\cos(2t) \right) dt \\ &= \left[2\cos(t) + \frac{1}{2}t + \frac{1}{4}\sin(2t) \right]_{0}^{2\pi} = \pi, \end{split}$$

using the fact that $\cos^2(t) = \frac{1}{2} + \frac{1}{2}\cos(2t)$.

Alternatively, we can solve this problem using Stokes' Theorem:

$$\int_{C} \overline{F} \cdot d\overline{r} = \iint_{S} \operatorname{curl} \overline{F} \cdot d\overline{S}$$

We first calculate the curl:

$$\operatorname{curl} \overline{F} = \begin{vmatrix} \overline{i} & \overline{j} & \overline{k} \\ \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ 2 & x & y^2 \end{vmatrix} = 2y\overline{i} + \overline{k}.$$

The surface bounded by C is the disk given by $x^2 + y^2 \le 1$ and z=1. We can parameterize this surface by $\overline{r}(u,v) = \langle u,v,1 \rangle$, for $u^2 + v^2 \le 1$. We can then calculate the tangent and normal vectors:

$$\begin{split} \overline{T}_{u} &= \left\langle \frac{\partial x}{\partial u}, \frac{\partial y}{\partial u}, \frac{\partial z}{\partial u} \right\rangle = \left\langle 1, 0, 0 \right\rangle, \\ \overline{T}_{y} &= \left\langle \frac{\partial x}{\partial v}, \frac{\partial y}{\partial v}, \frac{\partial z}{\partial v} \right\rangle = \left\langle 0, 1, 0 \right\rangle, \\ \overline{N} &= \overline{T}_{u} \times \overline{T}_{v} = \left| \begin{matrix} \overline{i} & \overline{j} & \overline{k} \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{matrix} \right| = \overline{k} . \end{split}$$

Now, we have shown the surface is flat and lies in the xy-plane with upward orientation, so Stokes' Theorem becomes

$$\int_{C} \overline{F} \cdot d\overline{r} = \iint_{S} \operatorname{curl} \overline{F} \cdot d\overline{S} = \iint_{S} \operatorname{curl} \overline{F} \cdot \overline{N} dA$$

Hence, we have

$$\int_{C} \overline{F} \cdot d\overline{r} = \iint_{S} \operatorname{curl} \overline{F} \cdot d\overline{S} = \iint_{u^{2} + v^{2} \le 1} \left(2y\overline{i} + \overline{k} \right) \cdot \overline{k} dA = \iint_{u^{2} + v^{2} \le 1} 1 dA = \pi.$$

Example 2. Let S be the interior of the unit sphere, i.e. $x^2 + y^2 + z^2 \le 1$. Compute $\iiint_{S} \operatorname{curl} \overline{F} \cdot d\overline{S}$, where $\overline{F}(x, y, z) = z\overline{i} + y\overline{j} + x\overline{k}$.

Solution. We use spherical coordinates to write \overline{F} in terms of two variables.

$$x = r \sin \theta \cos \phi$$
, $y = r \sin \theta \sin \phi$, $z = r \cos \theta$, $0 \le \theta \le \pi$, $0 \le \phi < 2\pi$,

where r=1. The parametric representation of the surface $x^2 + y^2 + z^2 = 1$ is given by

$$\overline{r}(\theta,\phi) = \sin\theta\cos\phi\overline{i} + \sin\theta\sin\phi\overline{j} + \cos\theta\overline{k}$$

and the tangent and normal vectors are given by

$$\begin{split} \overline{T}_{\theta} &= \frac{\partial x}{\partial \theta} \,\overline{i} + \frac{\partial y}{\partial \theta} \,\overline{j} + \frac{\partial z}{\partial \theta} \,\overline{k} = \cos\theta \cos\phi \overline{i} + \cos\theta \sin\phi \overline{j} - \sin\theta \overline{k}, \\ \overline{T}_{\phi} &= \frac{\partial x}{\partial \phi} \,\overline{i} + \frac{\partial y}{\partial \phi} \,\overline{j} + \frac{\partial z}{\partial \phi} \,\overline{k} = -\sin\theta \sin\phi \overline{i} + \sin\theta \cos\phi \overline{j}, \\ \overline{N} &= \overline{T}_{\theta} \times \overline{T}_{\phi} = \sin^2\theta \cos\phi \overline{i} + \sin^2\theta \sin\phi \overline{j} + \sin\theta \cos\theta \overline{k}. \end{split}$$

Finally, applying Stokes' Theorem, we obtain

$$\begin{split} \iiint_{S} \operatorname{curl}\overline{F} \cdot d\overline{S} &= \iint_{\partial S} \overline{F} \cdot d\overline{r} = \iint_{\partial S} \overline{F} \cdot \overline{N} dA \\ &= \int_{0}^{2\pi} \int_{0}^{\pi} \left(\cos \theta \overline{i} + \sin \theta \sin \phi \overline{j} + \sin \theta \cos \phi \overline{k} \right) \cdot \left(\sin^{2} \theta \cos \phi \overline{i} + \sin^{2} \theta \sin \phi \overline{j} + \sin \theta \cos \theta \overline{k} \right) d\theta d\phi \\ &= \int_{0}^{2\pi} \int_{0}^{\pi} \left(2\sin^{2} \theta \cos \theta \cos \phi + \sin^{3} \theta \sin^{2} \phi \right) d\theta d\phi \\ &= 2 \int_{0}^{\pi} \sin^{2} \theta \cos \theta d\theta \int_{0}^{2\pi} \cos \phi d\phi + \int_{0}^{\pi} \sin^{3} \theta d\theta \int_{0}^{2\pi} \sin^{2} \phi d\phi \\ &= 2 \int_{0}^{\pi} \sin^{2} \theta \cos \theta d\theta \left[\sin \phi \right]_{0}^{2\pi} + \int_{0}^{\pi} \left(1 - \cos^{2} \theta \right) \sin \theta d\theta \int_{0}^{2\pi} \frac{1}{2} \left(1 - \cos \left(2\phi \right) \right) d\phi \\ &= 0 - \int_{1}^{-1} \left(1 - u^{2} \right) du \times \left[\frac{1}{2} t - \frac{1}{4} \sin \left(2\phi \right) \right]_{0}^{2\pi} \end{split}$$

where we use the *u*-substitution $u = \cos \theta$ and the fact that $\sin^2(t) = \frac{1 + \cos(2t)}{2}$.