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2 **REVIEW**

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PARENTING BEGETS PARENTING: A NEUROBIOLOGICAL PERSPECTIVE ON EARLY ADVERSITY AND THE TRANSMISSION OF PARENTING STYLES ACROSS GENERATIONS

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- 21 Abstract-The developing brains of young children are highly sensitive to input from their social environment. Nurturing social experience during this time promotes the acquisition of social and cognitive skills and emotional competencies. However, many young children are confronted with obstacles to healthy development, including poverty, inappropriate care, and violence, and their enhanced sensitivity to the social environment means that they are highly susceptible to these adverse childhood experiences. One source of social adversity in early life can stem from parenting that is harsh, inconsistent, non-sensitive or hostile. Parenting is considered to be the cornerstone of early socio-emotional development and an adverse parenting style is associated with adjustment problems and a higher risk of developing mood and behavioral disorders. Importantly, there is a growing literature showing that an important predictor of parenting behavior is how parents, especially mothers, were parented themselves. In this review, we examine how adversity in early-life affects mothering behavior in later-life and how these effects may be perpetuated intergenerationally. Relying on studies in humans and animal models, we consider evidence for the intergenerational

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transmission of mothering styles. We then describe the psychological underpinnings of mothering, including responsiveness to young, executive function and affect, as well as the physiological mediators of mothering behavior, including hormones, brain regions and neurotransmitters, and we consider how development in these relevant domains may be affected by adversity experienced in early life. Finally, we explore how genes and early experience interact to predict mothering behavior, including the involvement of epigenetic mechanisms. Understanding how adverse parenting begets adverse parenting in the next generation is critical for designing interventions aimed at preventing this intergenerational cycle of early adversity.

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Key words: early adversity, intergenerational effects, gene-environment interactions, mothering, parenting styles.

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Abbreviations: ERP, event-related potentials; fMRI, functional magnetic resonance imaging; GxE, gene by environment; HPA, hypo thalamic-pituitary-adrenal; mPFC, medial prefrontal cortex; MPOA, medial pre-optic area; OXT, oxytocin peptide; OXTR, oxytocin receptor.

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INTRODUCTION

Early childhood is a period of unprecedented change and 53 integration at both the biological and social level 54 characterized by a high degree of plasticity in brain 55 organization (Kolb et al., 2003a,b; Marshall and Kenney, 56 2009). Young children's rapidly developing brains are 57 highly sensitive to input from the social world, which 58 allows for the rapid acquisition of language, cognitive 59 skills, and emotional competencies. Nurturing social 60 experiences in the early years have lifelong benefits, 61 including an increased ability to learn, greater achieve-62 63 ment, involvement in community activities, active participation in the labor market and overall quality of life 64 (Ermisch et al., 2012; Boivin and Bierman, 2013). Unfortu-65 nately, too many infants and young children are con-66 fronted with adverse experiences, such as poverty, 67 inappropriate care, and violence, which pose enduring 68 obstacles to their healthy development (Boivin et al., 69 2005; Evans and Kim, 2007; Wadsworth and Santiago, 70 2008; Brown et al., 2009). Disparities emerge early in life 71 in children's physical, social/emotional, and language/ 72 cognitive development that are largely attributable to the 73 interplay of genetic factors and systematic differences in 74 the nurturing qualities of their early environments: nutri-75 tion, bonding/attachment, stimulation, and opportunities 76 for participation (Grantham-McGregor et al., 1997; 77 Boyden and Levison, 2000; Barker et al., 2008; Côté 78 et al., 2009; Forget-Dubois et al., 2009; Petitclerc et al., 79 2009, 2011; Lacourse et al., 2014; Battaglia et al., in 80 press). During those early years, a dense, hierarchically 81 connected series of sensitive periods occur in the brain, 82 such that early experiences can embed themselves in 83 brain circuitry and other biological systems (Hertzman 84 and Boyce, 2010). 85

Young children's enhanced sensitivity to their social 86 world means that they are highly susceptible to adverse 87 social experiences, including short-term, dramatic events 88 such as discrete episodes of physical or sexual abuse. 89 as well as the chronic daily stressors within the home 90 and community. One source of social adversity in early 91 life can also stem from parenting behavior that is harsh, 92 inconsistent, non-sensitive or hostile. Generally 93 perceived as the cornerstone of early socio-emotional 94 development (Bornstein, 1995), parenting behaviors are 95 especially important in the early years when the matura-96 tion of neurophysiological systems makes the infant partic-97 98 ularly receptive to, and dependent on sensitive parenting 99 care for his/her emotional and behavioral regulation 100 (Kochanska et al., 1998). In most cases, a child's parents and immediate family provide the early and influential 101 proximal environment through which a child's potential 102 for "effective psychological function" begins to evolve 103 and manifest (Bronfenbrenner and Ceci, 1994). Unfortu-104 nately, not all children benefit from this nurturing contribu-105 tion. Early signs of adjustment problems have been 106 associated specifically with inconsistent, non-sensitive, 107 and hostile parenting behaviors (Lyons-Ruth et al., 1991; 108 Wakschlag and Hans, 1999; Tremblay et al., 2004; 109 Huijbregts et al., 2008). Furthermore, epidemiological 110 studies demonstrate that children who are exposed to 111 112 early adverse experiences associated with disrupted

bonding and attachment are at a higher risk for developing anxiety, depression, and other stress-related illnesses during adolescence (Rey, 1995; McCauley et al., 1997; Martin et al., 2004) and adulthood (Enns et al., 2002; Putnam, 2003).

There is a growing literature showing that one of the 118 most powerful predictors of parenting behavior is how 119 parents, especially mothers, were parented themselves. 120 In this review we examine the relationship between 121 early adversity and parenting behavior and its 122 intergenerational perpetuation. We begin by outlining 123 our conceptual perspective on parenting, focusing 124 particularly on mothering. We then review evidence for 125 intergenerational recurrence of mothering styles relying 126 on evidence from both human and animal studies. This 127 is followed by a description of what is known about the 128 psychological underpinnings of mothering, including 129 perception, executive function and emotion and the 130 impact of early adversity on offspring development in 131 these domains of psychological functioning. We then 132 discuss the putative physiological mediators associated 133 with mothering, including the role of hormones, key 134 areas of the brain, and neurotransmitters, and we 135 examine how early adversity influences these 136 physiological mediators. A final section explores what is 137 known about how specific genes and the early 138 environment interact to predict mothering behavior, as 139 well as the potential involvement of epigenetic 140 mechanisms through which early adversity may affect 141 the mechanisms of mothering. We close the review with 142 a summary of the material presented and some 143 tentative conclusions aimed at informing targeted 144 interventions to break intergenerational cycles of 145 problematic parenting behaviors. 146

CONCEPTUALIZATION OF PARENTING

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Parenting is embedded in a complex social system. It is 148 influenced by parent and child characteristics, but also 149 by contextual stressors and supports (Belsky, 1984). Par-150 ents bring their personality and personal history to their 151 early interactions with the young child, and this back-152 ground, as well as more immediate environmental con-153 straints, may influence their beliefs and expectations 154 about parenting and their parenting practices (Boivin 155 et al., 2005). The concept of normative-adaptive or 156 species-typical parenting behavior is implied throughout 157 the present review, but we acknowledge that among all 158 species, especially humans, there are large individual 159 and cultural differences in parenting behaviors, in feelings 160 and attitudes toward infants, as well as in motivation to 161 parent. In fact, individual differences are the hallmark of 162 human behavior within and across cultures, and it is 163 important to understand how both environmental and 164 genetic factors, as well as their interactions, contribute 165 to these individual differences. This review points to fea-166 tures of parenting that create an environment in which 167 healthy development can occur, but always with the view 168 that both 'positive' and 'negative' parenting, as well as 169 'desirable' child outcomes cover a range of approaches, 170

styles, and behaviors that vary across individuals,societies, and cultures (Keller et al., 2004, 2005).

Most of the research we review focuses on parenting 173 by the mother, reflecting the state of the existing literature. 174 Nevertheless, we recognize that fathers play a significant 175 role in children's development (Amato, 1994; Williams 176 and Radin, 1999; Chang et al., 2003; Tamis-LeMonda 177 178 et al., 2004; Sarkadi et al., 2008). In addition, fathers and mothers exist within a family context, affect one 179 another's parenting, and participate in a family dynamic 180 that also contributes to children's development (Quinton 181 and Rutter, 1984a,b; Jenkins et al., 2012; Meunier 182 et al., 2012; Stover et al., 2012; Tamis-LeMonda et al., 183 184 2004). Finally, in many homes and cultures, other relatives, friends, and professional caregivers also contribute 185 to children's development (Peisner-Feinberg et al., 2001: 186 NICHD Early Child Care Research Network, 2002; Côté 187 et al., 2007; Geoffroy et al., 2010; Herba et al., 2013). 188 These forms of caregiving, while extremely important, 189 are beyond the scope of the present review. 190

EARLY EXPERIENCES AND INTERGENERATIONAL TRANSFER OF PARENTING STYLES

In humans, important aspects of early experiences with 194 the mother are the warmth expressed by the mother, 195 196 engagement in physical contact and play, visual mutuality and/or vocal exchanges, and the extent to 197 which a mother responds to her infant in a timely and 198 appropriate way (that is, 'contingently') (Tamis-Lemonda 199 and Bornstein, 1989; Tamis-Lemonda et al., 2001). The 200 expression of these mothering behaviors, however, is 201 associated with prior life experiences. In this section we 202 review evidence for the relationship between early-life 203 adversity in mothers and subsequent mothering behavior, 204 as well as offspring outcomes. We then examine studies 205 using animal models that shed light on the causal nature 206 of this relationship. 207

208 Maternal history and parenting

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A number of studies have demonstrated that a woman's 209 history of adverse early experiences is associated with 210 difficulties in parenting. Moehler et al. (2007) measured 211 emotional availability during mother-infant interactions 212 and found that mothers with a history of sexual or physical 213 abuse were significantly more intrusive toward their chil-214 dren than were non-abused mothers. Roberts et al., 215 (2004) also reported that mothers who had experienced 216 217 sexual abuse in early life were less interested in becoming 218 mothers themselves and when they did, they exhibited 219 impaired parenting skills, such as higher levels of child neglect, diminished confidence in their own parenting 220 skills, more negative self-appraisal as a parent, greater 221 use of physical punishment, and a lack of emotional con-222 trol in parenting situations. In addition, Knutson (1995) 223 reported that a substantial proportion of mothers who 224 were abused during childhood go on to subsequently 225 abuse their own children, when compared to mothers 226 who did not report abuse. 227

Furthermore, adjustment problems in offspring are 228 often associated sequelae of cross-generational early 229 abuse experiences. Using the Avon Longitudinal Study 230 of Parents and Children, Collishaw et al. (2007) found that 231 the more severely the mothers rated the impact of their 232 own childhood maltreatment, the worse the adjustment 233 of their offspring. Adjustment problems in offspring were 234 also more chronic when mothers reported severe abuse 235 than when they reported less severe adversity. Moreover, 236 the effects were cumulative wherein problems in offspring 237 were greater when maternal exposure was to several 238 types of abuse as compared to only one type. Offspring 239 of maltreated mothers were also at an increased risk of 240 experiencing aversive events and physical assaults. In 241 addition, changes in the structure of their families, such 242 as separations from caretakers and parents, and the 243 acquisition of new parent figures, were more frequently 244 reported. Finally, maltreated mothers' offspring also faced 245 a wider range of stressful life events, such as moving into 246 another neighborhood, changing schools, and losing con-247 tact with friends. 248

Positive early experiences also predict later maternal behavior (Chen and Kaplan, 2001; Belsky et al., 2005; Chen et al., 2008). For instance, the experience of less authoritarian parents in early childhood, a more positive family 'climate' in middle childhood, and more positive attachment in adolescence, are all predictive of warm, sensitive, and stimulating maternal behavior in adulthood (Belsky et al., 2005). In sum, early life experiences can be viewed as part of a spectrum from very negative to very positive, and as discussed next, both positive and negative parenting behaviors can be reproduced intergenerationally.

Intergenerational continuity of parenting

There is growing evidence for some form of intergenerational continuity of parenting style (Conger et al., 2003; Belsky et al., 2005; Scaramella et al., 2008; Bailey et al., 2009; Neppl et al., 2009). The emphasis of this research has been both on the developmental "sequelae" of parenting behavior and the outcomes associated with positive and negative aspects of parenting. These associations were initially established by studies in which the early experiences were recalled retrospectively. Recently and more convincingly, these associations were also documented in prospective studies in which families and children were followed from childhood through adulthood, and the style of parenting of the first generation and the second generation was observed directly (Belsky et al., 2005; Scaramella et al., 2008).

Scaramella and colleagues (2008) reported a particularly informative prospective study of intergenerational parenting that eliminated bias associated with retrospective reports and had the advantage of a relatively large sample size (well over 100 subjects at each time point), direct behavioral observations and reliable coding, as well as independent characterizations of parents and adolescents allowing statistical separation of their individual contributions in parent-child interactions. Observations of parenting in the first and second generation showed a significant degree of continuity in both harsh and positive

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288 parenting among both males and females across the two generations. Harsh parenting in this context was defined 289 as critical, aggressive, unkind, irritable, and 'pressureful' 290 in a structured puzzle task. Positive parenting was 291 defined as supportive, warm, helpful, and involved during 292 the task. Harsh parenting was associated with 'externaliz-293 ing' and disruptive behavior in adolescents, whereas pos-294 295 itive parenting was associated with competent behaviors in adolescents. The children displaying these behaviors 296 as adolescents also tended to behave similarly as adults, 297 which in turn may account for the differing parenting styles 298 the adolescents exhibited as adults parenting their chil-299 dren (third generation). Because personality and behav-300 301 ioral characteristics of a child are also correlated with parenting, the study helps disentangle the contributions 302 303 of each factor of the parent-child interaction: for instance. when harsh parenting is associated with externalizing 304 behaviors in a child, these behaviors then contribute to 305 that child becoming a harsh parent toward his/her own 306 children. Hence, this study documents the important role 307 of the child as a mediator in the apparent continuity of 308 parenting. 309

These results are consistent with other analyses and 310 with other prospective studies of intergenerational 311 transmission of parenting (Belsky et al., 2005; Bailey 312 313 et al., 2009). They are also consistent with the view that 314 the problematic nature of some teenage mothering (de 315 Paul and Domenech, 2000; Rebollo and Montero, 2000; Giardino et al., 2008) could be accounted for by an inter-316 generational process whereby teen mothers who experi-317 ence adverse parenting may in turn become poorly 318 adjusted mothers (Furstenberg et al., 1987). The studies 319 also point strongly to the critical nature of the parent-child 320 interplay in long-term outcomes for offspring and to the bi-321 directional character of this developmental process. That 322 is, parenting in early childhood may influence the child's 323 developmental trajectory, but also, the child may influence 324 the nature of parenting received through an interplay of 325 social and/or genetic factors (Boivin et al., 2005). 326

327 Buffers to the adverse effects of abuse

In spite of these apparent associations between early 328 adversity, including physical abuse (and/or retrospective 329 perception of that adversity), and later emotional and 330 parenting problems, it remains that a large proportion of 331 mothers who were abused will NOT, in turn, abuse their 332 own children. This suggests that a myriad of factors 333 protect future mothers from the risks accrued through 334 335 early experiences. Among these, social support to the mother or to the developing child (Wind and Silvern, 336 337 1994; Kaufman et al., 2004; Jaffee et al., 2007), as well 338 as forming a relationship with a supportive partner in 339 adulthood (Seeman et al., 2002) appear to play an impor-340 tant role. Although experiences during early developmen-341 tal periods may well have a greater impact than 342 occurrences in later development periods (Heim and Nemeroff, 1999; McEwen, 2003), and form the stepping 343 stones for the development of personal qualities underly-344 ing appropriate mothering, experiences acquired later in 345 life can reverse previous effects, and/or result in develop-346 ment to follow a different path. McEwen (2003) in a review 347

of the effects of early life adversity on brain development 348 concludes that problems brought about by unstable or 349 abusive care-giving during childhood are not irreversible, 350 and may be ameliorated by a social support system or a 351 caring and loving relationship with a partner. 352

Animal studies of early adversity, mothering, and intergenerational effects

In non-human primates and rodents, adequate maternal 355 care is necessary for the establishment of normal 356 behavioral and physiological functioning in the offspring; 357 variations in this care early in life predict variations in a 358 wide variety of developmental outcomes, including the 359 type of maternal care that offspring provide when adults 360 (Francis and Meaney, 1999; Champoux et al., 2002; 361 Champagne et al., 2003a,b; Maestripieri et al., 2006). 362 Furthermore, the early care the young receive from their 363 mothers is a reliable predictor of the type of care they pro-364 vide when they become mothers (Gonzalez et al., 2001; 365 Fleming et al., 2002a,b; Maestripieri, 2005; Maestripieri 366 et al., 2007: Suomi, 1999). 367

The effects of early experience of mothering has been 368 extensively studied in the rat using an artificial rearing 369 approach that allows for experimental control of the 370 early rearing environment, including the removal of the 371 mother followed by artificial replacement of relevant 372 maternal stimuli (Lovic and Fleming, 2015; Lomanowska 373 and Melo, in press). This early experience of isolation 374 from maternal contact does not completely disrupt the 375 rat's ability to engage in species-specific maternal behav-376 iors, but it impairs the frequency and organization of these 377 behaviors. For instance, artificially reared mothers 378 retrieve pups to the nest, lick and groom them and display 379 hovering, crouching, and nursing behavior, but compared 380 to control rats, they spend significantly less time engaging 381 in these behaviors (Gonzalez et al., 2001; Fleming et al., 382 2002a,b; Melo et al., 2006, 2009; Palombo et al., 2010; 383 Afonso et al., 2011; Lovic et al., 2011b; Shams et al., 384 2012). Importantly, these effects can be partially reversed 385 by artificially administering maternal licking-like tactile 386 stimulation to the pups (Gonzalez et al., 2001; Gonzalez 387 and Fleming, 2002: Lovic and Fleming, 2004: Novakov 388 and Fleming, 2005). This reversal highlights the direct 389 influence of early-life maternal care on the development 390 of subsequent maternal behavior. Furthermore, in line 391 with the human studies discussed above, the disruption 392 of maternal behavior resulting from artificial rearing, such 393 as deficits in maternal licking and crouching, is also trans-394 ferred to the subsequent generation (Gonzalez et al., 395 2001). Therefore, results from animal studies provide 396 strong support for the causal nature of the association 397 between early experience and the later development of 398 maternal behavior, and also for the trans-generational 399 expression of these effects. 400

EARLY ADVERSITY AND PSYCHOLOGICAL MEDIATORS OF PARENTING

Parenting encompasses a complex set of behaviors that 403 depend on different psychological processes, from 404 perceiving and attending to child-associated cues, 405

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including their motivational and emotional valance, to 406 responding appropriately to these cues while attending 407 also to the context of the surrounding environment 408 (Barrett and Fleming, 2011; Lonstein et al., 2015). The 409 existing literature on early adversity demonstrates link-410 ages with different domains of psychological functioning 411 in humans, while studies in animal models support the 412 413 causal nature of the associations between early experience and the development of various aspects of behavior 414 and cognition. In this section, we examine the putative 415 contributions of early adversity to perceptual, cognitive 416 and emotional functions that are implicated in the expres-417 sion of parental sensitivity and behavior. We discuss this 418 419 evidence with the aim of understanding the more proximate psychological mechanisms mediating the effects of 420 421 early adversity on parenting.

422 Early adversity and perceptual responsiveness

Early adversity has been associated with heightened 423 responsiveness to all stimuli, but especially with a bias 424 toward stimuli with negative valence. Children who were 425 abused and/or neglected show a negative bias, and are 426 more attentive to negative pictures than to positive 427 428 pictures. Measuring brain electrical responses through event-related potentials (ERP), a measure of neural 429 activity, Pollak et al. (1997, 2001) have shown that one 430 431 of the wave forms of the ERP, the P3b component, which 432 reflects attention and interest, has a greater amplitude in abused, as opposed to non-abused, children when they 433 are presented with an angry face or vocal expressions 434 of anger, and especially faces of their own mothers. The 435 amplitude of P3b has also been associated with the 436 severity of physical maltreatment (Pollak et al., 1997, 437 2001; Shackman et al., 2007). However, this pattern of 438 association was not shown in response to happy faces. 439 440 Moreover, abused children had more difficulties disengaging from attending to angry faces even when they 441 were instructed to avoid them. As a result, they performed 442 worse than controls on neutral goal-directed tasks in the 443 presence of an angry face (Shackman et al., 2007). The 444 445 pattern of responsiveness to environmental cues associated with early adversity suggest that some children 446 may develop greater reactivity to negative features of 447 the environment, particularly socially-relevant information, 448 and they may adopt a more negative world view later in 449 life. In future studies, it will be important to explore how 450 this pattern of responsiveness relates to future parental 451 452 sensitivity.

453 Responsiveness to environmental cues in relation to early social adversity has also been studied in rats and 454 455 non-human primates. In rats, limited experience with the 456 mother during early-life result in changes in the 457 perceived value of environmental stimuli ('stimulus salience') (Lomanowska et al., 2006, 2011), increased 458 459 locomotor reactivity to a novel environment (Gonzalez 460 et al., 2001), increased motor impulsivity (Lovic et al., 2011a,b), reduced attention in an attention set-shifting 461 task (Lovic and Fleming, 2004), as well as alterations in 462 perceived reward value of pups (Afonso et al., 2009, 463 2011). These patterns of behavior, particularly inattention 464 and impulsivity, have also been related to impairments in 465

mothering behavior in rats, including the duration of pup 466 licking and hovering over the pups (Lovic and Fleming, 467 2004, 2015; Lovic et al., 2011b). Conversely, rat mothers 468 who received adequate parenting as neonates tend to 469 show a balance in approach and avoidance behaviors in 470 novel environments and with their pups; specifically, they 471 show less fearfulness and enhanced attentiveness, and 472 respond more positively to features of their young 473 (Fleming and Li, 2002). In non-human primates, peer-474 reared, as compared to mother-reared rhesus monkeys 475 showed enhanced responding to an attractive stimulus 476 in the form of sweet aspartame (Nelson et al., 2009). 477 Nelson et al. (2009) have suggested that this increased 478 responding to appetitive stimuli may act as a behavioral 479 suppressant for negative emotions, which are often 480 enhanced in animals reared in adverse conditions 481 (Volkow, 2004). In other words, early adversity may cre-482 ate inconsistent propensities in offspring; that is, to pro-483 duce a long-term underlying negative emotion bias while 484 enhancing short-term reactivity to both positive and nega-485 tive stimuli presented by the environment. These studies 486 in animal models demonstrate a direct relationship 487 between changes in perceptual and attentional processes 488 and effects on mothering behavior following early adver-489 sity. The association between impulsive-inattentive 490 behaviors and disrupted parenting has also been docu-491 mented in humans, although in a few studies only and 492 not always with detailed measures of parenting (Chen 493 and Johnston. 2007: Johnston et al., 2012: Moffit et al., 494 2013). Future research in this area needs to explore 495 how early adversity relates to perceptual and attentional 496 processes in the context of parenting. 497

Early adversity and executive function

In both the animal and human literature there is strong 499 evidence showing that social adversity during an 500 offspring's early years results in disrupted development 501 of many of the executive functions that are necessary 502 for well-regulated mothering. Studies in rats have 503 demonstrated the lasting effects of early adverse social 504 experiences on performance of tasks involving 505 executive function-related processes. For instance, 506 being reared without the mother results in offspring that 507 show deficits in attention and ability to shift attention 508 when needed (Lovic and Fleming, 2004; Burton et al., 509 2006; Garner et al., 2007). Early adversity studies in chil-510 dren have also demonstrated a stable relationship 511 between abuse or neglect and executive function 512 (Kreppner et al., 2001; De Bellis, 2005; Pears and 513 Fisher, 2005; Bos et al., 2009). Bos and colleagues 514 (2009) investigated executive function in children that 515 had a history of early deprivation due to institutionalization 516 and reported that early adversity is associated with defi-517 cits in performance on tasks that involve planning and 518 working memory. Early adversity resulting from familial 519 violence has also been associated with deficits in a wide 520 range of executive functions, including working memory, 521 problem solving, inhibition and attentional control (Nolin 522 and Ethier, 2007; Pears et al., 2008; Fishbein et al., 523 2009). While we expect that these deficits continue into 524 adulthood, less is known about the predictive relationship 525

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between early adversity and executive function in 526 adulthood. 527

Thus, for both perceptual responsiveness and 528 executive functions, there is evidence of a link between 529 adverse early experience of parenting and later-life 530 deficits in functioning in these domains. These cognitive 531 functions are relevant for parenting behavior, but 532 533 evidence providing a more direct link between early adversity (i.e., parenting received), these cognitive 534 functions, and later-life parenting behavior is lacking at 535 this point. 536

Early adversity and emotional dysfunction 537

In addition to the relationship discussed above between 538 early adversity and heightened responsiveness to 539 emotionally-relevant cues, early adversity is also a 540 significant factor in affective disorders in later life. 541 Spertus and colleagues (2003) showed that a woman's 542 history of neglect and emotional abuse was related to 543 increased depression, anxiety, post-traumatic stress and 544 physical symptoms, and that some of these scars per-545 sisted over time, specifically, poorer physical and emo-546 tional functioning. Early adversity has also been related 547 to earlier onset of depression, a greater number of 548 depressive episodes, a more chronic course of the illness 549 and a greater likelihood of suicide (Brown and Moran, 550 1994; Lizardi et al., 1995; McCauley et al., 1997; Bernet 551 and Stein, 1999; Brown et al., 1999; Bifulco et al., 2002; 552 Harkness and Monroe, 2002; Moskvina et al., 2007). 553 The timing of early adversity (earlier in childhood is con-554 sidered a more vulnerable period), gender (men are less 555 vulnerable), stressful experiences in later life and 556 hypothalamic-pituitary-adrenal (HPA) axis functioning 557 throughout life, as well as genetic characteristics are all 558 factors that moderate and/or mediate the relationship 559 between early adversity and depression (Heim and 560 Nemeroff, 1999; Heim et al., 2004). 561

Emotional liability following early adverse social 562 experience has also been studied in animal models. 563 Repeated separation of pups from the mother during 564 early life has been shown to increase the pup's 565 fearfulness and anxiety in later life (Anisman et al., 566 1998; Huot et al., 2001; Sanchez et al., 2001; Kalinichev 567 et al., 2002), with effects varying as a function of the dura-568 tion and frequency of maternal separation (Matthews, 569 2002). Rats that were reared artificially in isolation from 570 the mother and litter have also been shown to exhibit 571 increased fearfulness and anxiety in response to novel 572 environments and in investigating novel objects 573 (Gonzalez et al., 2001; Burton et al., 2006; Melo et al., 574 575 2009), although some of these effects may depend on 576 the sex of the animals and the testing conditions 577 (Burton et al., 2006; Lomanowska et al., 2006).

The impact of early adversity on affective functioning 578 in later-life may be especially relevant to subsequent 579 parenting behavior. There is mounting evidence from 580 human studies showing the link between depression in 581 parents, especially post-partum depression in both 582 mothers and fathers, and negative developmental 583 outcomes among infants and children (Goodman and 584 Gotlib, 2002; Kane and Garber, 2004; Tronick and 585

Reck. 2009). Infants of depressed mothers are less 586 interactive (Field et al., 2007), socially engaged 587 (Feldman et al., 2009) and show more negative emotion-588 ality (Feldman et al., 2009). In addition, there is evidence 589 for an association between maternal depression and 590 infant cognitive and motor development (Tronick and 591 Reck, 2009). In the early postpartum period, infants of 592 depressed mothers are also less responsive to, and 593 show less interest in faces and voices (Field et al., 594 2009). These findings could reflect higher arousal levels, 595 less attentiveness, and perhaps altered sociability and 596 empathy in the child. Indeed, infants of depressed moth-597 ers exhibit a distinct physiological profile, one that also is 598 associated with heightened stress (Field and Goodman. 599 2002; Diego et al., 2004, 2006, 2010). In fathers, depres-600 sion has been related to more spanking of the child, as 601 well as less often reading or engaging positively with the 602 child (Davis et al., 2011). In terms of later-life outcomes, 603 parental depression has also been associated with inter-604 nalizing and externalizing disorders in children (Connell 605 and Goodman, 2002; Ramchandani et al., 2005, 2006). 606 Furthermore, both maternal and paternal depression 607 has been associated with physiological, cognitive, 608 behavioral and emotional functioning across the lifespan 609 of children, including an increased risk of developing 610 psychopathology in adolescence or young adulthood 611 (Cicchetti et al., 1998; Halligan et al., 2007; Brand and 612 Brennan, 2009; Gump et al., 2009). Flouri and col-613 leagues (McEwen and Flouri, 2009; Walton and Flouri, 614 2010) found that the behavior of fathers and mothers 615 was associated with different aspects of adolescent 616 emotional regulation, with maternal warmth being posi-617 tively related to good regulation and psychological con-618 trol being negatively related. Taken together, these 619 studies demonstrate that parents' emotional dysfunction 620 is persistently reflected in their children's psychological 621 development, pointing to a source of socio-emotional 622 adversity in early life that may be perpetuated across 623 generations. It is important to note, however, that genetic 624 vulnerability transmitted across generation should not be 625 discounted in this context. Accordingly, it will be essen-626 tial in future studies to dissociate the role of shared 627 genetic vulnerability for emotional dysfunction and the 628 contribution of environmental influences related to par-629 enting behavior itself. 630

EARLY ADVERSITY AND PHYSIOLOGICAL MEDIATORS OF PARENTING

Parenting behavior is rooted in key physiological changes related to pregnancy, parturition, and responsiveness to 634 young that involve interacting systems of hormones, as well as neural circuitry and neurotransmitters. It has now become clear that these physiological systems are sensitive to the influence of the early environment and can be perturbed by early adversity. In this section, we provide a brief overview of the physiological components underlying parenting behavior and we examine how their functioning may be affected by early adversity. 643

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644 Hormones and mothering

In most mammalian species that have been studied, the 645 hormones associated with late pregnancy and childbirth 646 enhance the likelihood that the new mother will respond 647 648 appropriately to her newborn offspring. Mothers undergo 649 a shift from being nonresponsive to acting responsively over the course of a short period before, during and 650 651 after birth (Numan et al., 2006). The hormones that are implicated in this process vary across species, but most 652 involve a configuration of hormones, including elevations 653 in estrogen, and then prolactin and oxytocin, against a 654 background of declining gestational progesterone 655 (Numan et al., 2006). The neuropeptide oxytocin (primed 656 by estrogens and affected by the glucocorticoids) has also 657 been strongly implicated in the activation of nurturance in 658 many species, including humans (Bardi et al., 2003; 659 Feldman et al., 2010a,b; Gordon et al., 2010a,b). 660

Once females become 'biologically motivated' to 661 662 respond maternally to their young, the behavior they 663 exhibit is not strongly affected by hormones, but is experience-based. Hormones, however. 664 primarily especially those of the HPA axis, cortisol in humans and 665 corticosterone in rats, can affect the intensity of 666 maternal behavior. The HPA axis or 'stress' system is a 667 hormonal system critical for physiological adjustments to 668 environmental challenges and 'stresses'. The HPA axis 669 is key in regulating metabolism to insure energy 670 671 availability for action and proper immune function, as well as having mobilizing effects to enhance attention 672 and memory (McEwen, 1998; de Kloet et al., 2005). It is 673 also a system that has adverse effects on the brain and 674 body when activated without relief over long periods of 675 676 time. Chronic stress can lead to dysregulation of the 677 HPA system, producing changes in metabolism, disease susceptibility, in other aspects of physiology and in normal 678 behavior (McEwen, 1998; de Kloet et al., 2005). There is 679 substantial evidence that the HPA axis has both an acti-680 vating and inhibitory effect on the maternal system, 681 depending on the stage of postpartum, the parity and 682 endocrine condition of the female, and an animal's earlier 683 experiences (Numan et al., 2006; Rees et al., 2006; 684 Brummelte and Galea, 2010). 685

686 In human mothers, postpartum cortisol concentrations soon after birth-which are extraordinarily high-are 687 positively associated with many nurturing features of 688 689 mothering. Women experiencing higher levels of cortisol 690 engage in more contact with their babies, find baby 691 odors to be more attractive, are better able to recognize their babies based on their odors, are more sympathetic 692 when exposed to infant cries, and show altogether 693 heightened feelings of wellbeing (Fleming et al., 1997; 694 Stallings et al., 2001; Krpan et al., 2005; Giardino et al., 695 2008). Later in the postpartum period, when the priming 696 effects of the childbirth hormones are no longer present, 697 high cortisol does not appear to directly augment maternal 698 behavior. Research in monkeys suggest that high cortisol 699 700 at this time is quite deleterious to mothering behavior, especially in the context of the childbirth hormones 701 (Bardi et al., 2005). This putative bimodal effect of cortisol 702 has been demonstrated in a variety of contexts and sug-703 gests that a certain level may be necessary for alertness 704

and adequate attention to young. However, if the levels are too high and hormonal priming by progesterone and estrogen is no longer present, maternal behavior can be disrupted (see Rees et al., 2006; Brummelte and Galea, 2010). 705

Early adversity and HPA axis function

There is a large literature in animal models demonstrating711that the regulation of the HPA system is clearly affected712by adverse childhood experiences and the resulting713dysregulation can, in turn, affect parenting behavior in714later-life. Importantly, both pre- and postnatal experiences715of adversity can result in altered stress reactivity.716

Numerous studies in animals have described the 717 effects of manipulating the maternal/fetal environment 718 on stress responsiveness, with the general consensus 719 that stress applied experimentally (restraint stress as 720 one example) during pregnancy leads to increased HPA 721 activity in guinea pig, rat, and primate offspring (Kapoor 722 et al., 2006; Kapoor and Matthews, 2008; Weinstock, 723 2008; Cottrell and Seckl, 2009; Bergman et al., 2010). 724 These studies have also demonstrated that the timing in 725 gestation when maternal adversity occurs has a major 726 impact on the offspring HPA system functioning later in 727 life (Kapoor and Matthews, 2005), but the effects are 728 dependent on the age of outcome assessment, offspring 729 sex and, in females, the phase of the menstrual cycle at 730 which a given outcome is measured (Kapoor and 731 Matthews, 2008). Several studies have now assessed 732 the association between stressful experiences during 733 pregnancy and HPA function in children (Glover et al., 734 2010; Tollenaar et al., 2011). The adverse maternal expe-735 riences that have been summarized in these studies are 736 comprised of measures of 'daily hassles', life events 737 (moving to a new house, death of a family member and 738 so on) or domestic violence. An emerging consensus is 739 that maternal stress is associated with a wide range of 740 neuroendocrine disturbances in the offspring and related 741 adverse developmental outcomes that include altered 742 behavior and cognition (Weinstock, 2008; Entringer 743 et al., 2009). A longitudinal study of mothers and their chil-744 dren suggested that self-reported maternal anxiety during 745 late pregnancy predicted a higher awakening salivary cor-746 tisol secretion in the offspring at ten years of age 747 (O'Connor et al., 2005). The prediction persisted after 748 accounting for socio-demographic and obstetric factors, 749 which included several postnatal assessments of mater-750 nal depression and anxiety. Another study demonstrated 751 that prenatal anxiety (as indicated by fear about preg-752 nancy outcome or giving birth and daily hassles) and 753 maternal cortisol levels at week 16 of gestation were 754 related to higher cortisol responses to starting school after 755 the summer break in five-year-old offspring (Gutteling 756 et al., 2005). 757

With respect to post-natal adversity, evidence from animal studies suggests that maternal separation and the quality of maternal care alters the development of several endocrine systems, including the HPA axis (Champagne and Meaney, 2001). Rat mothers that were raised without their mothers or raised by mothers that showed low levels of maternal licking demonstrated ele-764

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vated stress activated HPA function (Francis et al., 1999: 765 Burton et al., 2007; Belay et al., 2011). Studies of early 766 adversity in primates, where maternal separation or 767 stress paradigms that more closely resemble that of 768 human mothers and infants, have led to more varied 769 results; some studies demonstrating HPA-axis hypoactiv-770 ity (Rosenblum et al., 1994, 2002; Coplan et al., 1995) 771 772 and others HPA hyperactivity (Higley et al., 1991, 1992; 773 Fahlke et al., 2000).

Hertzman and Boyce (2010) have reviewed the role of 774 adversity in HPA activity in humans. Adversity in terms of 775 low socioeconomic position over a lifetime is associated 776 777 with substantially higher levels of awakening cortisol, 778 8-10% higher levels of cumulative cortisol secretion during the early day hour, and an increased risk by 779 60–91% of having an abnormal cortisol secretion pattern 780 (Hertzman and Boyce, 2010). Under less chronic, but 781 more extreme, conditions, children who have been 782 exposed to severe neglect through institutionalization 783 during the first 6 months of life show hyper-secretion of 784 cortisol to stressful situations in addition to showing emo-785 tional and social dysregulation (Hertzman and Boyce, 786 2010). Whether these patterns associated with neglect 787 788 are accounted for by the absence of early stimulation in 789 general or by the absence of a parent figure is not known. 790 However, HPA function is also associated with how well 791 children are attached to their mothers. Disorganized and insecurely attached children show a prolonged HPA 792 stress response to stress situations, whereas securely 793 attached children tend to show a robust but short-lived 794 response (Gunnar et al., 1996; Nachmias et al., 1996; 795 Rao et al., 2008). 796

Dysregulation of the HPA axis activity is also 797 associated with other behavioral indices of distress, as 798 well as with emotional and cognitive problems, 799 especially in later adolescent years and adulthood. A 800 801 series of studies showed that mothers who were either 802 at-risk teens or clinically depressed were more likely to show less affectionate and disrupted interactions with 803 their babies as well as demonstrating elevated basal 804 cortisol levels (Krpan et al., 2005; Gonzalez et al., 805 2012). Teen mothers with this endocrine and behavioral 806 profile were also more likely to report (retrospectively) 807 having experienced inconsistent care and multiple and 808 changing caregivers (Krpan et al., 2005). In addition, adult 809 mothers with a history of early adverse experiences 810 (inconsistent care and/or maltreatment) showed higher 811 levels of diurnal cortisol and were less sensitive when 812 interacting with their infants (Gonzalez et al., 2012). 813

Taken together, these studies suggest that HPA 814 815 dysfunction is associated both with concurrent stress and with earlier life stress or adversity and, in addition, 816 that these dysregulations have been associated with 817 mothers' sensitivity and interaction patterns with their 818 infants. Still elusive is whether the elevated cortisol 819 levels in high-risk mothers are particularly related to the 820 postpartum period or were present throughout childhood 821 and generally in adulthood. In fact, in a retrospective 822 study outside of the postpartum period, women who 823 were abused as children demonstrate elevated peak 824 adrenocorticotropic hormone (ACTH) responses to 825

stress and more prolonged cortisol responses. This 826 pattern is strongly associated with a woman's current 827 mood state, such that abused women without current 828 depression demonstrate lower cortisol responsiveness 829 and basal cortisol levels, while women who were 830 abused with depression show features more consistent 831 with hyperactive HPA function (Heim et al., 2004). These 832 data indicate that when interpreting studies using cortisol 833 as a marker for stress, it is important to know the context 834 of the cortisol assessment and the life history and circum-835 stances of the person being assessed. Future work 836 should focus on prospective measures and/or intervention 837 studies to more clearly establish causal pathways and to 838 further elucidate the pattern of HPA axis functioning in 839 women with a history of early adversity who will subse-840 quently become mothers. 841

The brain, neurotransmitters and mothering

What we know about the maternal brain and its neural 843 circuitry is derived almost exclusively from experimental 844 research based on lesion, stimulation, and pharmacologic 845 studies with rats and monkeys (Fleming and Li, 2002; 846 Numan et al., 2006; Barrett and Fleming, 2011; Numan, 847 2012). Broadly speaking, this body of work shows that the 848 circuitry involves lower-level systems in the hypothalamus 849 and midbrain that can be thought of as the 'final common 850 path' for the expression of maternal behaviors. Intercon-851 necting this system are projections from limbic structures, 852 including the olfactory system, the amygdala, and the 853 nucleus accumbens that mediate the expression of 854 olfactory-based hedonics, affect, and reward processing, 855 respectively. Intersecting with both the hypothalamic and 856 the limbic systems are cortical systems, including the pre-857 frontal, orbitofrontal, and cingulate systems that regulate 858 planning, attention, working memory and social-emotional 859 expression. See Fig. 1 for a schematic of this maternal 860 circuitry in the rat. Within the maternal circuitry, one system 861 that has been studied heavily is the limbic-amygdala sys-862 tem, known for its involvement in emotion regulation, fear 863 expression, fear conditioning, and affect in general 864 (LeDoux, 2003). With respect to mothering, this system is 865 implicated in the change the new mother rat undergoes 866 during parturition when she transforms herself from an 867 animal that is fearful and withdraws from young into one 868 that approaches and engages with the young (Fleming 869 and Li, 2002; Numan et al., 2006; Numan, 2012). 870

There has also been a plethora of human functional 871 magnetic resonance imaging (fMRI) studies focusing on 872 the brain activation patterns of mothers and others to 873 infant-related stimuli. These studies show a pattern of 874 activation in humans that matches guite well the pattern 875 derived from animal experimental studies, with 876 activation in limbic structures, but especially in cortical 877 structures (Seifritz et al., 2003; Bartels and Zeki, 2004; 878 Leibenluft et al., 2004; Swain, 2008; Swain and Hoa, 879 2010; Musser et al., 2012). See Fig. 2 for a schematic 880 of the maternal circuitry in humans. For example, focusing 881 quite directly on sites within the brain known to be impor-882 tant among other mammals, Barrett and colleagues 883 (Barrett and Fleming, 2011; Barrett et al., 2012) pre-884





Fig. 1. The functional neuroanatomy of maternal and related non-maternal behaviors in mammals. Neuroanatomical structures comprise the olfactory bulbs, the amygdala, the nucleus accumbens, the bed nucleus of the stria terminalis (BNST), the medial preoptic area (MPOA), the ventromedial hypothalamus (VMH), the periventricular nucleus (PVN), the supraoptic nucleus (SON), the midbrain, and the parietal cortex. Neurochemical systems include the catecholamines, norepinephrine (NE) and dopamine (DA), the neuropeptides, and the opioids (from Barrett and Fleming, 2011, used with permission).



Fig. 2. The putative human maternal circuit. Subcortical brain regions implicated in reward, affect, and motivation serve to promote the salience of baby stimuli as strongly reinforcing factors that stimulate the mother to care for, be responsive to, and show affection to the baby. Higher-order cortical functions regulate maternal behavior in the context of the internal and external environment and overarching goals, standards, mores, and intentions. Regions such as the anterior cingulate cortex and orbitofrontal cortex operate at the interface of the two systems (from Barrett and Fleming, 2011, used with permission).

sented pictures of their own infants and other infants to 885 new mothers. They found that mothers responded most 886 positively to faces of their own infants smiling (as opposed 887 to crying) and that the brain areas that became activated 888 889 to the familiarity dimension and affect dimensions of their own infant faces compared to the control condition 890 included many of the same sites described in the animal 891 models, including the nucleus accumbens, the amygdala, 892 and the cingulate cortex. Furthermore, in line with the 893 involvement of the limbic-amygdala system in emotional 894 regulation in the context of mothering, these response 895

patterns related quite clearly to maternal levels of anxiety and depression (Barrett et al., 2012).

While many neurotransmitters play a role in the onset of mothering, dopamine, has been clearly implicated in reward, mood, attention, and mothering, at least in nonhumans. The general function of dopamine is to reflect and enhance the rewarding properties or salience of stimuli for an animal (Berridge and Robinson, 1998). Depending on an animal's 'motivational' state, relevant stimuli are food to the hungry animal, a sexually experienced male for an estrous females, or pups for a

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new mother (Berridge and Robinson, 1998; Afonso et al., 907 908 2008, 2009, 2011). In the new mother rat, hormones progesterone and estrogen suppress baseline activity of 909 the dopamine system in the nucleus accumbens. In 910 hormonally primed new mother rats, subsequent pup 911 stimulation produces an increase in dopamine over 912 baseline, which is proportionally greater than it would be 913 914 if the baseline were high. Therefore, this hormonal effect acts to tune the dopamine system by enhancing the ratio 915 of dopamine signal to baseline noise when pups are 916 presented (Afonso et al., 2011, 2013). 917

An important point to consider with respect to the 918 neurobiology of mothering is that the brain and 919 920 neurotransmitter systems that become activated by infant stimulation in new mothers are not specific to mothering 921 or to infants: instead they reflect activation of general 922 processes that are recruited, utilized, or activated by 923 different stimuli at different life stages and under different 924 endocrine, experiential, and motivational conditions. 925

926 Early adversity and development of brain function

Animal models show that the nature of the early 927 experience of being mothered affects the development 928 of the brain systems important for mothering. For 929 instance, in comparison to offspring of high licking rat 930 931 mothers, offspring of low-licking rat mothers grow up to have lower levels of receptors in the brain for the 932 hormones estrogen and oxytocin (Francis et al., 2000; 933 Champagne et al., 2003a,b), and lower levels of receptors 934 for corticosterone (van Hasselt et al., 2012). These recep-935 tors are all involved in the activation or modulation of 936 maternal behavior. Studies of maternal deprivation in rats 937 have also provided a wealth of information regarding the 938 effects of this type of early adversity on brain develop-939 940 ment. Raising rat pups without their mothers, in comparison to mother-reared pups, results in animals that show 941 reduced neural activation in the subcortical brain regions 942 important for mothering, including the medial pre-optic 943 area (MPOA), and the piriform and parietal cortices, as 944 945 measured in the pup sensitization paradigm in juvenile females (Gonzalez et al., 2001). Similarly, changes in 946 the profile of dopamine release in the nucleus accumbens 947 in response to pups are observed in postpartum females 948 who were raised without their mothers (Afonso et al., 949 2011). In both cases, additional touching and stroking 950 stimulation during early life normalizes brain function 951 and prevents the effects of deprivation. Similarly, early 952 953 deprivation disrupts normal development of some, but not all, maternal neural circuits by reducing the production 954 955 of proteins associated with the development of neurons in 956 a number of brain sites important for mothering (Akbari 957 et al., 2007; Burton et al., 2007; Chatterjee et al., 2007; Chatterjee-Chakraborty and Chatterjee, 2010). Finally, 958 959 there is evidence that many of these effects are initiated 960 during the first week of life in rats, since by day seven of postnatal rearing, maternally deprived animals show a 961 reduction in normal 'programed cell death' (apoptosis) 962 and reduced production of proteins that promote cell 963 death (Chatterjee-Chakraborty and Chatterjee, 2010). It 964 is important to note that, in all these studies, providing 965

replacement maternal licking-like stimulation prevents the brain deficits.

In humans, the evidence that early adversity is 968 predictive of the neural underpinnings of later mothering 969 behavior is less direct. However, some initial data 970 suggested by studies of abused and neglected women, 971 and of individuals raised in the early years of life in 972 institutions who have many deficits in brain structure 973 and function, indicate that early adversity may well 974 disrupt the maternal circuitry. A number of brain 975 differences associated with early life adversity have 976 been reported in adults, including reduced volume or 977 development of brain areas in the cortex and subcortex 978 (Teicher et al., 2003). Moreover, in children, the orbito-979 frontal cortex involved in social-emotional regulation is 980 considerably less active and smaller in maltreated chil-981 dren than in non-maltreated children, and again this 982 reduction is related to the extent of early stress experi-983 enced (Bachevalier and Loveland, 2006; Hanson et al., 984 2010). Similar reduced size has also been reported in 985 institutionalized children; in this case in a portion of the 986 cerebellum that is involved in social regulation, such that 987 children with smaller posterior-superior lobes showed 988 poor executive control (Pollak, 2005, 2008; Bauer et al., 989 2009). Youths with a prior history of institutionalization 990 have also been shown to exhibit an altered pattern of acti-991 vation in the amygdala in response to faces of their moth-992 ers and strangers by comparison to controls (Olsavsky 993 et al., 2013). Institutionalized youth showed reduced 994 amygdala discrimination toward these stimuli, and this 995 reduction in activation was related to greater indiscrimi-996 nate friendliness (Olsavsky et al., 2013). Moreover, adult 997 individuals who experienced early maltreatment reported 998 more flatness of affect and depressive symptoms, and 999 displayed decreased neural activation in reward and 1000 learning brain regions compared to non-maltreated coun-1001 terparts (Buss et al., 2007; Dillon et al., 2009). Maternal 1002 warmth was also associated with neural activation in the 1003 medial prefrontal cortex (mPFC) in response to different reward conditions and this relationship was particularly salient for individuals who were raised by depressed mothers (Morgan et al., 2014). Furthermore, mothers who reported more nurturing maternal care in childhood had larger gray matter volumes, indicating neuron cell bodies, in many regions of the cortex (Kim et al., 2010). They also exhibited greater brain activation to infant cries in many of the same cortical sites. However, there is still a lack of published research examining neurobiological processing in new mothers who themselves have experienced parental loss or personal trauma during early development.

GENES, GENE BY ENVIRONMENT (GXE) INTERACTIONS AND EPIGENETICS

Genetic influences may also account for variability in 1019 parenting behavior and in its transmission across 1020 generations. Importantly, however, there is evidence for 1021 GxE interactions in the expression of maternal behavior. 1022 This is apparent from the results of studies showing that 1023 the maternal genotype predicts different patterns of

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maternal behavior as a function of early experience, and
other studies showing that the expression of certain
genes associated with parenting behavior can vary in
relation to particular early experiences. In this section,
we describe studies in both humans and animal models
that shed light on these processes.

1031 Genetics and maternal behavior

The heritability of maternal behavior (e.g., positivity, 1032 1033 warmth, physical affection, and control) was first 1034 indicated by studies with human twins (Perusse et al., 1994; Kendler and Baker, 2007). More recent genetic 1035 1036 studies have focused on candidate genes implicated in 1037 systems underlying maternal behavior, including the dopamine, serotonin, and neuropeptide oxytocin and argi-1038 nine vasopressin systems (Mileva-Seitz et al., in press). 1039 In these studies, the maternal genotype is defined by 1040 the expression of different variants, or polymorphisms, 1041 of candidate genes. Gene expression in mothers is then 1042 related to observed maternal behavior. 1043

Due to the importance of dopamine in the motivational 1044 aspects of mothering, a number of genes involved in the 1045 functioning of the dopamine system have been studied. 1046 Variation in the dopamine transporter (DAT1) gene 1047 associated with the metabolism of dopamine has been 1048 1049 related to differences in 'negative parenting' and the 1050 frequency of maternal verbal commands during a 1051 structured mother-child interaction (Lee et al., 2010). Furthermore, polymorphisms of dopamine genes for the D4 1052 receptor (DRD4) and catechol-O-methyltransferase 1053 (COMT) related to 'less efficient transmission' of the neu-1054 rotransmitter predicted lower maternal sensitivity in moth-1055 ers with high levels of self-reported daily hassles (Van 1056 lizendoorn et al., 2008). Importantly, in both of these stud-1057 ies, GxE interactions were also reported in relation to the 1058 disruptive behavior of the child (Lee et al., 2010) or the 1059 level of daily hassles (Van lizendoorn et al., 2008). A sim-1060 ilar GxE interaction was also found when infant fussiness 1061 1062 was considered within the context of maternal sensitivity. 1063 Mothers with a variant of the DRD4 dopamine receptor 1064 gene (the DRD4 7-repeat allele) behaved more sensi-1065 tively to fussy babies and less sensitively to non-fussy babies than did mothers with an alternate variant, without 1066 the 7-repeat allele (Kaitz et al., 2010). Another study relat-1067 ing dopamine genes to mothering at 6 months postpartum 1068 1069 focused on two other dopamine receptor genes, (DRD1 1070 and DRD2). Variation in the DRD1 receptor gene was significantly associated with the time mothers spent dis-1071 attending to the infant (Mileva-Seitz et al., 2012). This 1072 finding is consistent with the idea that dopamine acts at 1073 this receptor to enhance the salience and thus attention 1074 toward infant stimuli. In contrast, variation in the DRD2 1075 1076 receptor gene was significantly associated with maternal 1077 vocalizing/speech to the infant (Mileva-Seitz et al., 2012).

A similar association between mothering behavior and gene variants coding for the serotonin transporter 5HTT has also been reported. Specifically, the 5HTT genotype was found to predict observed maternal sensitivity in response to 2-year-old toddlers (Bakermans-Kranenburg and van ljzendoorn, 2008), 6-month-old infants (Mileva-Seitz et al., 2011), and during repeated measurement time points at 14, 36, and 48 months of age (Cents et al., 2014). Two of the initial studies reported opposite effects, however, where mother carrying the S-allele of the serotonin transporter showed lower (Bakermans-Kranenburg and van ljzendoorn, 2008) or higher (Mileva-Seitz et al., 2011) levels of sensitive parenting. The more recent study by Cents et al. (2014) of a much larger cohort of mother-child dyads, with tests of maternal sensitivity performed at different time points during child development, supported the findings of the S-allele being associated with higher levels of maternal sensitivity as observed by Mileva-Seitz et al. (2011). Of importance to the focus of this review. Mileva-Seitz et al. (2011) also reported a GxE interactive effect between polymorphisms of the serotonin transporter gene and the reported guality of care that the mothers received from their own parents on the frequency of orienting away from the infant during a 30-min mother-infant interaction and perceived attachment to the infant.

Furthermore, a number of studies have reported an association between maternal behavior and genes implicated in the functioning of oxytocin, a neuropeptide important in affiliative behaviors. Several gene variants, including those coding for the oxytocin peptide (OXT), the oxytocin receptor (OXTR) and an ectoenzyme mediating the release of brain oxytocin (CD38), have been associated with observed maternal behavior, including maternal sensitivity (Bakermans-Kranenburg and van ljzendoorn, 2008), maternal warmth (Klahr et al., 2015), positive parenting (Michalska et al., 2014), parental touch and parent-infant gaze synchrony (Feldman et al., 2012), maternal vocalizing to the infant (Mileva-Seitz et al., 2013) as well as neural and physiological responsiveness to infant cues (Riem et al., 2011; Michalska et al., 2014). Similarly to studies of the serotonin system, interactive GxE effects of mother's genetics and early experience on mothering behavior have also been observed for gene variants of oxytocin (Feldman et al., 2012; Jonas et al., 2013; Mileva-Seitz et al., 2013). Feldman et al. (2012) found that parents who reported greater parental care in early life, and who were also carriers of CD38 alleles associated with lower risk of social dysfunction displayed more touch toward their infants. Mileva-Seitz et al. (2013) also reported a relationship between OXT gene variants, the reported quality of early-life parental care, and variation in observed maternal instrumental care and post-partum depression. Jonas et al. (2013) also found that a similar interaction between OXT gene variants and early-life adversity predicted the duration of breastfeeding as well as postpartum depression.

Variation in the gene for arginine vasopressin, another 1136 neuropeptide implicated in affiliative behavior, has also 1137 been associated with mothering. Mothers with different 1138 alleles of the arginine vasopressin 1a receptor gene 1139 AVPR1A differed in maternal sensitivity (Bisceglia et al., 1140 2012) and supportive and guiding behavior toward their 1141 children (Avinun et al., 2012). Importantly, there was also 1142 a significant GxE interaction between the AVPR1A gene 1143 variants and self-reported early adversity, such that 1144

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1145 women who scored lowest on measures of maternal sensitivity were those who experienced high levels of early 1146 adversity and who had two copies of the RS3 long alleles 1147 of the gene (Bisceglia et al., 2012). 1148

Overall, these findings illustrate that different genetic 1149 variants can relate to different behavioral endpoints within 1150 the context of mothering behavior. Furthermore, mothers 1151 with different genetic profiles may be differentially 1152 affected by their early life experience, which can also play 1153 a role in maternal behavior. 1154

Early adversity, epigenetic mechanisms and 1155 mothering 1156

1157 One intriguing mechanism through which early adversity could affect later mothering is epigenetic modification of 1158 gene expression (McGowan and Roth, 2015). Reduction 1159 or silencing of gene expression, through retention of 1160 1161 methylation, is a biological mechanism through which an early adverse experience, as opposed to a positive and 1162 healthy experience, could have a long-term impact on 1163 processes underlying mothering behavior. Such a mech-1164 anism has been documented in rodents with respect to 1165 the expression in the brain of receptors of steroid hor-1166 mones important for mothering, including estrogen and 1167 corticosterone (Champagne et al., 2006; Pan et al., 1168 1169 2014). Female offspring of low-licking mothers showed both a reduced expression of the estrogen alpha receptor 1170 1171 gene in the MPOA (and hence are less sensitive to estro-1172 gen action), as well as an increased DNA methylation of its promoter region, which functionally reduces or silences 1173 gene expression (Champagne et al., 2006). In another 1174 study, a difference in the level of methylation of the gluco-1175 corticoid receptor gene promotor, a promotor for the 1176 receptor to which corticosterone binds, was found in the 1177 hippocampus of female offspring that experienced differ-1178 ent amounts of maternal licking in early life (Pan et al., 1179 2014). A similar epigenetic process has also been impli-1180 cated in the altered expression of the BDNF gene, a gene 1181 1182 involved in growth and plasticity in the brain, in the prefrontal cortex of female offspring following adverse early 1183 experiences (Roth et al., 2009; Roth and Sweatt, 2010). 1184

1185 In human studies, early adversity has also been associated with differential methylation patterns in (post-1186 mortem) hippocampal tissue (McGowan et al., 2009; 1187 Labonte et al., 2012; Suderman et al., 2012). Further-1188 more, genome-wide DNA methylation differences were 1189 1190 found between children raised in an institution compared to children raised by biological parents, including differ-1191 ences in genes for neural communication and brain devel-1192 opment (Naumova et al., 2012). A recent study examined 1193 the association between early adversity, parenting, and 1194 methylation of the promoter region of the glucocorticoid 1195 receptor gene NR3C1 (Schechter et al., 2015). In mothers 1196 with a history of interpersonal violence and maltreatment, 1197 1198 including experience of interpersonal adversity in early 1199 life, the severity of the mother's post-traumatic stress disorder symptoms and current parenting stress was nega-1200 tively correlated with the level of methylation of NR3C1 1201 (Schechter et al., 2015). In addition, the level of methyla-1202 tion of NR3C1 correlated positively with neural activity in 1203

the mPFC in response to videos of the mothers' interac-1204 tions with their children during a play and separation ses-1205 sion (Schechter et al., 2015). The results of this study demonstrate that patterns of epigenetic modifications important for the functioning of the HPA axis relate to both the mothers' experience of adversity, their present experience of mothering and their neural response to childrelated cues. 1211

Overall, research into the epigenetic mechanisms 1212 implicated in the long-term effects of early adversity is in 1213 its early stages and there is still limited information 1214 regarding outcomes related specifically to parenting. In 1215 future studies, it will be important to document whether 1216 the 'gene by early experience' interactions reported in 1217 relation to mothering involve differential methylation 1218 patterns of the genes implicated in mothering, such as 1219 genes involved in the functioning of the dopamine, 1220 serotonin, oxytocin or vasopressin systems. For instance, 1221 studies that examine the relationship between candidate 1222 gene polymorphisms and methylation patterns on these 1223 genes, in combination with an assessment of early 1224 parenting experience and current parenting style, will be 1225 critical in furthering our understanding of the mechanisms 1226 by which early experience affects parenting. 1227

SUMMARY AND CONCLUSION

Adverse childhood experiences pose a challenge to 1229 healthy development with putative effects that may 1230 endure throughout life. As described in this review, these 1231 longitudinal associations also extend inter-generationally, 1232 by means of parenting behavior. Children who grow up 1233 neglected or abused by their parents, or under 1234 conditions of extreme distress within their families, are at 1235 risk of developing a host of unhealthy behaviors that 1236 affect their own lives. When these children grow up, they 1237 tend to be less equipped to take on a parenting role and. 1238 in the context of adverse circumstances and the 1239 absence of some form of social support and/or 1240 intervention, they are more likely to adopt parenting 1241 behaviors that perpetuate a cycle of adverse parenting 1242 across generations. 1243

Based on a sizable literature in humans documenting 1244 the associations between early adversity and later-life 1245 outcomes, as well as animal studies that enable us to 1246 make stronger inferences of causality about the potential 1247 impact of early experiences on the development of 1248 offspring, we are now able to identify a number of 1249 psychological and physiological factors implicated in the 1250 perpetuation of early adversity through parenting 1251 behaviors. A number of perceptual, cognitive and 1252 emotional deficits associated with early adversity could 1253 play a significant role in the context of parenting. These 1254 include perception and responsiveness toward offspring 1255 stimuli, difficulties in regulating of parenting behavior, as 1256 well as depression. Parents (mothers) who are reactive, 1257 inattentive, impulsive, aggressive, depressed or simply 1258 not interested in their children are more likely to adopt 1259 problematic parenting behaviors, especially in stressful 1260 situations. The perceptual, cognitive, and emotional 1261 deficits that many mothers experience may affect their 1262

1263 children in various ways. For instance, impulsive and inattentive mothers have greater difficulty interacting 1264 with their sensitively contingently and infants. 1265 Furthermore, depressed mothers, if untreated, can put 1266 their children at risk for depression and other affect 1267 problems, whereas children who are physically abused 1268 or the target of harsh parenting may come to show 1269 1270 externalizing behavior and harsh parenting themselves.

1271 The underlying mechanisms linking early adversity and later parenting difficulties imply a disruption of 1272 behavioral and physiological processes involved in 1273 typical parenting behavior. In particular, early adversity 1274 can disrupt the regulation of HPA axis activity, which, as 1275 1276 discussed, has been shown to modulate how mothers respond to offspring. Furthermore, animal studies of 1277 early adverse experience reveal effects on a number of 1278 neural systems implicated in mothering, including 1279 changes in oxytocin, estrogen, and corticosterone 1280 receptors levels, reduced neural activation in relevant 1281 brain regions of the maternal circuit and altered patterns 1282 of dopamine neurotransmission in response to offspring 1283 stimuli. In humans, evidence of changes to the neural 1284 substrates of mothering following early adversity is less 1285 direct, but a number of structural and functional 1286 alterations have been reported in individuals with a 1287 1288 history of various adverse early experiences. 1289 Importantly, however, genetically informative studies 1290 show that there is a GxE interaction between gene 1291 polymorphisms for several neuropeptides and neurotransmitters implicated in mothering and early-life 1292 experiences. In other words, endpoints associated with 1293 the type of parenting received and early adversity 1294 depend on the gene polymorphisms that individuals 1295 carry. Adverse early experience has also been related 1296 to epigenetic changes that affect gene expression levels 1297 of brain steroid hormone receptors that are implicated in 1298 mothering. However, the research examining GxE 1299 interactions in parenting is still in its infancy, and it is 1300 important in future studies to examine this question 1301 1302 within a polygenic approach, as well as through longterm prospective longitudinal studies. This research on 1303 the GxE underpinnings of parenting will also have to 1304 consider genetically associated "child effects" (i.e., 1305 genetically mediated child characteristics that may 1306 evoke parenting behaviors) to account for variations in 1307 parenting within family (Boivin et al., 2005; Henry et al., 1308 1309 2015). Furthermore, future research will need to focus 1310 more closely on the contribution of fathers to the intergenerational transmission of parenting behavior, as well as on 1311 the interaction of mothers' and fathers' contributions. 1312 1313 There are some conflicting reports on the intergenerational transmission of fathering behavior (Capaldi et al., 1314 2003; Smith and Farrington, 2004; Belsky et al., 2005). 1315 1316 In the same vein, studies examining the mechanisms by 1317 which genetic and GxE factors influence parenting report both similarities (Feldman et al., 2012) and differences 1318 (Klahr et al., 2015) between findings for mothers and 1319 fathers. However, as discussed in previous sections, both 1320 mothers' and fathers' behavior is known to contribute to 1321 the developmental outcomes of children, and both male 1322 and female offspring are sensitive to parental influence, 1323

underscoring the importance of investigating the specific mechanisms relevant to the intergenerational transmission of different parenting behaviors in both sexes.

The GxE and epigenetic processes highlight the 1327 complexity of the relationship between environmental 1328 experience and parenting behavior. It is important to 1329 reiterate that in most cases, parents who experienced 1330 extreme adversity, such as physical abuse, will not 1331 adopt the same pattern of behavior with their child. 1332 Individual factors, such as genetics and temperament in 1333 both the parent and the child, as well as environmental 1334 factors, such as support from the partner, the family, 1335 and the community, could mitigate the risk of 1336 problematic parenting behavior (e.g., Caspi et al., 2002; 1337 Boivin et al., 2005; Lee et al., 2010). Mounting evidence 1338 shows that the role of early adverse childhood experi-1339 ences in predicting negative developmental outcomes is 1340 not the same for all, but rather depends on children's 1341 characteristics, including their genetic make-up (that is, 1342 a gene by environment, or GxE interaction). For instance, 1343 there is now ample evidence that the environment can 1344 affect individuals differently depending on their genetic 1345 endowment, and likewise, that the same genetic endow-1346 ment produces different outcomes depending upon the 1347 environment (Caspi et al., 2002; Boivin et al., 2005; 1348 Ouellet-Morin et al., 2008, 2009; Lee et al., 2010). The 1349 complexity of developmental processes is further aug-1350 mented by the growing flow of negative feedback loops 1351 in development, whereby personal characteristics, includ-1352 ing genetic factors, may lead to differential exposure to 1353 stressful social contexts (Boivin et al., 2013a,b). In other 1354 words, biological and environmental determinants are 1355 intertwined in developmental pathways. These complex 1356 transactions over time between the characteristics of the 1357 parent, the child, and their environment will ultimately 1358 determine the parenting outcomes of early adversity and 1359 their possible transmission across generations. 1360

Understanding how adverse parenting begets adverse 1361 parenting in the subsequent generation is critical to the 1362 planning of timely interventions in order to prevent this 1363 intergenerational cycle of adversity. The extant research 1364 suggests that, in addition to policies at a societal level 1365 (aimed at reducing the burden of early adversity, such as 1366 poverty and low education), we also need to consider 1367 interventions that focus on parenting difficulties. Among 1368 the current approaches to interventions, we should stress 1369 the importance of programs aimed at enhancing parents' 1370 emotional regulation and impulse control, attentional and 1371 cognitive capacity, mood state, ability to cope with stress, 1372 and more specifically in the context of parent-child 1373 interaction, attention to infant signals, contingent 1374 responding and positive regard. By understanding 1375 the phenomenology of parenting, its roots and 1376 consequences, and its underlying mechanisms, we will 1377 be in a position to attend to the needs of the parents, to 1378 enhance their overall well-being and to help them gain the 1379 tools to more effectively attend to the needs of their 1380 children. At the same time, because most of the 1381 documented deficits in parenting have their roots in 1382 infancy, early interventions specifically directed at 1383 children at risk may also have long-term benefits and thus 1384

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may go a long way in preventing or at least mitigating thelikelihood of problematic parenting behaviors in later life.

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